



# Washington H. Soul Pattinson and Company Limited

**ABN 49 000 002 728**

Level 14, 151 Clarence Street Sydney NSW 2000 Australia  
GPO Box 479 Sydney NSW 2001 T: (02) 9210 7070 F: (02) 9210 7077

12 June 2020

The Company Secretary  
Novonix Limited  
Level 11, 66 Eagle Street  
Brisbane QLD 4000

Dear Ms Yeates

## **Notice of change of interests of Substantial Holder**

We enclose Corporations Act 2001 Form 604, Notice of change of interests of Substantial Holder, lodged today with the Australian Securities Exchange.

Yours faithfully

I.D. Bloodworth  
Company Secretary

For personal use only

# Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme Novonix Limited

ACN/ARSN ACN 157 690 830

### 1. Details of substantial holder(1)

Name Washington H. Soul Pattinson and Company Limited ACN 000 002 728 (WHSP)

ACN/ARSN (if applicable) ACN 000 002 728

There was a change in the interests of the substantial holder on 10 / 06 / 20

The previous notice was given to the company on 03 / 06 / 20

The previous notice was dated 03 / 06 / 20

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary Shares	15,019,635	11.49%	16,538,266	7.74%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
05/06/20	WHSP	Allotment	\$2,250,000	Ordinary Shares	7,758,621
05/06/20	Additional Shares Issued	Dilution of Interest	N/A	Ordinary Shares	N/A
10/06/20	WHSP	Sale	\$4,452,394	-3,280,815 Ordinary Shares	-3,280,815
11/06/20	WHSP	Sale	\$3,917,355	-2,959,175 Ordinary Shares	-2,959,175

